FOREIGN ACCOUNT TAX COMPLIANCE ACT (FATCA) - CLIENT DECLARATION



The Foreign Account Tax Compliance Act (FATCA) is a United States (U.S.) provision that requires all Foreign Financial Institutions to provide the U.S Internal Revenue Service (IRS) with information on certain U.S. persons who have invested in accounts outside of the

nformation about U.S. ow		ndly indicate which, if any of the following	g apply to you. Provide detail	s where necessary.	
MLCL FFLC	Other:	Policy/ Account No:	Date: _		
CLIENT INFORMATIO	N				
Client Name:		Nationality:	Country of C	Country of Citizenship:	
Client Number:	Date of Birth (Individuals):				
Identification number or Co	ompany Registration num	nber:			
confirm details and/or provide to members of The Maritime	de proof of statements. Ce Financial Group and/or tive response to question	estions below, you may be contacted by a consent is hereby given to The Maritime Fina to regulatory/law enforcement authorities and sunder Section I (a) and Section II 1 (a) &	ncial Group to disclose the information any competent tax authority	rmation provided herein y.	
SECTION I- THIS SECTI	ION IS TO BE COMPLET	FED BY INDIVIDUALS:			
Please indicate which of	the following are applic	cable to you:			
(a) Are you a citizen or resident of the U.S. (including green card holder)? If yes, please state U.S. Taxpayer Identification Number (TIN)			YES	□ NO	
	ture and Date		Witness Signature and	d Date	
	ION IS TO BE COMPLET	TED BY CORPORATE CLIENTS: cable to the Entity:	Witness Signature and	d Date	
SECTION II- THIS SECTI	ION IS TO BE COMPLET		Witness Signature and	d Date	
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SECTION II- THIS SECTIO	ION IS TO BE COMPLET the following are applicate the following are applicated in the U.	cable to the Entity:	e thereof, a trust or an estate?		
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2. Please select the appropriate FATCA classific	tion below:			
Financial Institutions:				
Participating FFI ¹	GIII	V#		
☐ Non-Participating Financial Institution ²				
Other Entities:				
☐ An Active NFFE³	GIII	N#		
☐ A Passive NFFE⁴ (complete item (a) below)				
(a) Does the entity have any U.S. shareholders w	th shareholdings of 10%	6 or more?	YES	□ NO
If yes, please complete the table below for each I				
NAME DAT	OF BIRTH ADDR	ESS	U	J.S. TIN
Lobe signed by an authorized Signatory, Senior O				
I hereby certify/confirm that the information provide acknowledge that the information contained in this to the tax authorities of the country/jurisdiction or countries/jurisdiction in whice inancial account information.	form and information re in which the accou	garding the Account Holder a nt(s) is/are maintained and	nd any Reportable Acco	authorities of another
Name & Position of person completing this form				
Signature of person completing this form				
Date and Company stamp				

1 Participating FFI means a Financial Institution that has agreed to comply with the requirements of an FFI Agreement, including a Financial Institution described in a Model 2 IGA that has agreed to comply with the requirements of an FFI Agreement. The term Participating FFI also includes a qualified intermediary branch of a Reporting U.S. Financial Institution, unless such branch is a Reporting Model 1 FFI. For purposes of this definition, the term FFI agreement means an agreement that sets forth the requirements for a Financial Institution to be treated as complying with the requirements of section 1471(b) of the U.S. Internal Revenue Code. In addition, for purposes of this definition, the term Model 2 IGA means an arrangement between the United States or the Treasury Department and section 1471(b) of the U.S. Internal Revenue Code. In addition, for purposes of this definition, the term Model 2 IGA means an arrangement between the United States or the Treasury Department and a non-U.S. government or one or more agencies thereof to facilitate the implementation of FATCA through reporting by Financial Institutions directly to the IRS in accordance with the requirements of an FFI Agreement, supplemented by the exchange of information between such non-U.S. government or agency thereof and the IRS. (Tax Information Exchange Agreement Act 2017 Annex II (VI)(B))

Non-Participating Financial Institution - means a nonparticipating FFI, as that term is defined in relevant U.S. Treasury Regulations, but does not include a Trinidad and Tobago Financial Institution or other Partner Jurisdiction Financial Institution or other Partner Jurisdiction Financial Institution pursuant to subparagraph 2(b) of Article 5 of a FFI Agreement or the corresponding provision in an agreement between the United States and a Partner Jurisdiction. (Tax Information Exchange Agreement Act 2017, Schedule 2, Article 1(r))

NFEE- Any Non-U.S. Entity that is not an FFI as defined in relevant U.S. Treasury Regulations or is an Entity described in subparagraph B(4)(i) of this section, and also includes any Non-U.S. Entity that is established in Trinidad and Tobago or another Partner Jurisdiction and that is not a Financial Institution. (Tax Information Exchange Agreement Act 2017, Annex 1 (VI)(B)(2).

Passive NFFE- means any NFFE that is not: (i) an Active NFFE; or (ii) a withholding foreign partnership or withholding foreign trust pursuant to relevant U.S. Treasury Regulations. (Tax Information Exchange Agreement Act 2017, Annex 1 (VI)(B)(3))

P.T.O.