



2. Please select the appropriate FATCA classification below:

**Financial Institutions:**

Participating FFI<sup>1</sup> GIIN#.....

Non-Participating Financial Institution<sup>2</sup>

**Other Entities:**

An Active NFFE<sup>3</sup> GIIN#.....

A Passive NFFE<sup>4</sup> (complete item (a) below)

(a) Does the entity have any U.S. shareholders with shareholdings of 10% or more?  YES  NO

If yes, please complete the table below for each U.S. Shareholder ...

NAME	DATE OF BIRTH	ADDRESS	U.S. TIN

To be signed by an authorized Signatory, Senior Officer or Director of the Entity, Partner if Partnership, Trustee if Trust, Executor/Administrator if estate.

I hereby certify/confirm that the information provided above is to the best of my/our knowledge, true, correct and complete.

I acknowledge that the information contained in this form and information regarding the Account Holder and any Reportable Account(s) may be reported to the tax authorities of the country/jurisdiction in which the account(s) is/are maintained and exchanged with tax authorities of another country/jurisdiction or countries/jurisdiction in which the Account Holder may be tax resident pursuant to intergovernmental agreements to exchange financial account information.

<b>Name &amp; Position of person completing this form</b>	
<b>Signature of person completing this form</b>	
<b>Date and Company stamp</b>	

P.T.O.

<sup>1</sup> Participating FFI means a Financial Institution that has agreed to comply with the requirements of an FFI Agreement, including a Financial Institution described in a Model 2 IGA that has agreed to comply with the requirements of an FFI Agreement. The term Participating FFI also includes a qualified intermediary branch of a Reporting U.S. Financial Institution, unless such branch is a Reporting Model 1 FFI. For purposes of this definition, the term FFI agreement means an agreement that sets forth the requirements for a Financial Institution to be treated as complying with the requirements of section 1471(b) of the U.S. Internal Revenue Code. In addition, for purposes of this definition, the term Model 2 IGA means an arrangement between the United States or the Treasury Department and a non-U.S. government or one or more agencies thereof to facilitate the implementation of FATCA through reporting by Financial Institutions directly to the IRS in accordance with the requirements of an FFI Agreement, supplemented by the exchange of information between such non-U.S. government or agency thereof and the IRS. *(Tax Information Exchange Agreement Act 2017 Annex II (VI)(B))*

<sup>2</sup> Non-Participating Financial Institution - means a nonparticipating FFI, as that term is defined in relevant U.S. Treasury Regulations, but does not include a Trinidad and Tobago Financial Institution or other Partner Jurisdiction Financial Institution other than a Financial Institution treated as a Nonparticipating Financial Institution pursuant to subparagraph 2(b) of Article 5 of a FFI Agreement or the corresponding provision in an agreement between the United States and a Partner Jurisdiction. *(Tax Information Exchange Agreement Act 2017, Schedule 2, Article 1(r))*

<sup>3</sup> NFFE- Any Non-U.S. Entity that is not an FFI as defined in relevant U.S. Treasury Regulations or is an Entity described in subparagraph B(4)(j) of this section, and also includes any Non-U.S. Entity that is established in Trinidad and Tobago or another Partner Jurisdiction and that is not a Financial Institution. *(Tax Information Exchange Agreement Act 2017, Annex 1 (VI)(B)(2))*.

<sup>4</sup> Passive NFFE- means any NFFE that is not: (i) an Active NFFE; or (ii) a withholding foreign partnership or withholding foreign trust pursuant to relevant U.S. Treasury Regulations. *(Tax Information Exchange Agreement Act 2017, Annex 1 (VI)(B)(3))*